

**Medtronic**RECEIVED  
CENTRAL FAX CENTER

MAR 14 2005

---

# Facsimile Cover Sheet

**MEDTRONIC LAW DEPARTMENT - CONFIDENTIAL**

**Attention:** Mail Stop Issue Fee  
**Company:** United States Patent and Trademark Office  
**Telephone:** 703-308-6789  
**Facsimile:** 703-872-9306  
**Application No.:** 09/843,638  
**Filing Date:** April 26, 2001

**From:** Anna M. Nelson, Esq.  
**Telephone:** 763-505-0409  
**Facsimile:** 763-505-0411  
**Our Ref. No.:** 9596.00US

**Date:** March 14, 2004  
**Pages (including cover page):** 4

**Comments:** Enclosed are the following documents:  
☒ Transmittal Letter  
☒ Form PTOL-85 - Part B  
☒ Fee Addressee for Receipt of PTO Notices Relating to Maintenance Fees

Anna M. Nelson, Esq.  
Reg.: 48,935

## NOTICE

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS ATTORNEY PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ON THIS TRANSMITTAL FORM. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY Jodi Nickel at (763) 505-0184. THANK YOU.

PATENT  
Docket No.: P-9596.00US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Scott J. Davis et al.  
Application No.: 09/843,638  
Filing Date: April 26, 2001  
For: Implantable Therapy Delivery element Adjustable Anchor

Group Art Unit: 3762  
Examiner: Kristen Droesch Mullen  
Due Date:

**CERTIFICATE OF MAILING OR TRANSMISSION** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on March 14, 2005.

  
Jodi Nickel

## TRANSMITTAL LETTER

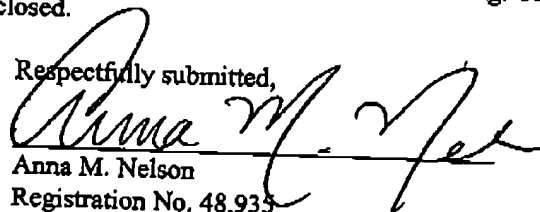
Mail Stop: Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Enclosed for filing in the above-identified application are:

- ☒ Transmittal Letter
- ☒ Form PTOL-85 - Part B
- ☒ Fee Addressee for Receipt of PTO Notices Relating to Maintenance Fees
- ☒ Please charge Deposit Account No. 13-2546 \$1,400.00 for Issue Fee, \$300.00 for Publication Fee and \$1700.00 for a total of \$1700.00.
- ☒ Please charge any additional fees or credit any overpayments to Deposit Account No. 13-2546, which may have been overlooked on this Transmittal Letter with regard to this filing. A duplicate of this transmittal letter is enclosed.

Date: March 14, 2005

Respectfully submitted,

  
Anna M. Nelson  
Registration No. 48,935  
MEDTRONIC, INC.  
710 Medtronic Parkway N.E., M.S.: LC340  
Minneapolis, Minnesota 55432-5604  
Telephone: 763-505-0405 / Facsimile: 763-505-0411  
Customer No.: 27581

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
(703) 746-4000

or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 3 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

27561 7590 12/15/2004

MEDTRONIC, INC.  
710 MEDTRONIC PARKWAY NE  
MS-LC340  
MINNEAPOLIS, MN 55432-5604

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission  
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Jodi Nickle (Depositor's name)  
[Signature] (Signature)  
March 19/2005 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/843,638	04/26/2001	Scott J. Davis	P-9596.00	9208

TITLE OF INVENTION: IMPLANTABLE THERAPY DELIVERY ELEMENT ADJUSTABLE ANCHOR

APPL. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	03/15/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
MULLEN, KRISTEN DROESCH	3762	607-002000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence address form PTO/SB/122) attached.  
☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Kathleen Campbell  
Medtronic, Inc.  
3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

MEDTRONIC, INC.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

MINNEAPOLIS, MN USAPlease check the appropriate assigner category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 65-2444 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  
☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  
NOTE: This Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Anna M. NelsonDate March 14 2005Typed or printed name Anna M. NelsonRegistration No. 48,935

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.